

THE ETHEL AND JAMES FLINN FOUNDATION



Annual
Report
2009

WHO WE ARE

The Ethel and James Flinn Foundation was established in 1976 by Ethel “Peggy” W. Flinn to remember her parents Ethel G. and James H. Flinn and her brother, James “Jim” H. Flinn, Jr. and to provide a means for family philanthropy. The Foundation was originally established as a charitable nonprofit corporation under Michigan laws and Section 501(c)(3) and 509(a)(3) of the Internal Revenue Code but was reclassified in 2005 as a private foundation under Section 501(c)(3). The Foundation received additional assets in 2007 upon the passing of the last family member, Jim H. Flinn, Jr.

MISSION

The Flinn Foundation is committed to improving the quality of life of children, adolescents and adults with mental illness by improving the quality, scope and delivery of mental health services. The Foundation uses its resources through research to develop, evaluate and implement best practice treatment programs.

HOW TO APPLY FOR A GRANT

The Foundation offers grant opportunities through an annual competitive Request for Proposals (RFP) process. The Foundation’s named supported organizations and the broader universe of non-profits that deliver mental health care and services in Southeast Michigan (Wayne, Oakland, Macomb and Washtenaw) are eligible.

Grants are awarded once yearly – in September. Listed below is the typical timeline and process for applying for a grant:

FUNDING PROCESS AND TIMELINE

Mid May / Open RFPs available
Mid July / Deadline for proposals
Late September / Notification of grant decision
Mid November / Grant funds awarded

Please contact our office or visit our website at www.flinnfoundation.org for further information on the Foundation’s funding priorities.

THE FLINN FAMILY



Ethel "Peggy" W. Flinn



James "Jim" H. Flinn, Jr.

The Ethel and James Flinn Foundation traces its roots three generations back to Elisha Flinn. He was born in 1843 and lived in New York State until coming to Detroit in 1865 to enter the practice of law. Elisha Flinn's clients were companies involved in natural resources but he gradually discontinued the practice of law to enter the business of buying and selling pine timber in Michigan. He developed a partnership with his former partner under the name of Robinson and Flinn and when there was little pine timber remaining in Michigan they moved their activities to other states and later to Minnesota. Robinson and Flinn, associated with others from Michigan, purchased considerable acreage of timber land in Minnesota. In 1892 iron ore was found on their Minnesota properties and from that time until his death in 1911 Elisha Flinn's principal business was iron ore mining in and around Eveleth, Minnesota. He was president of the Lake Superior Iron and Chemical Company, a vice president of old Detroit National Bank and a director of the Wayne County Savings Bank and the Detroit Trust Company. In 1871 he married Samantha Whitwood. Elisha and Samantha lived on Putnam Ave. in Detroit and raised two children, James H. and Mary W.

James H. Flinn married Ethel Garretson in 1906. Ethel and James raised three children, George, Ethel "Peggy" W. and James "Jim" H., Jr. It was Ethel and James who set the course for the Ethel and James Flinn Foundation.

Peggy Flinn with her cousin and lawyer established the Foundation in 1976. Her intent was to remember her parents, Ethel and James and her brother, Jim Flinn, Jr. and to consolidate and direct the family's philanthropy toward the purposes already expressed by her parents in their trust documents; "research into the causes and/or research into the treatment of nervous and mental diseases." Peggy graduated from Columbia Presbyterian College of Nursing in New York as a registered nurse and was a volunteer nurse with the Red Cross during World War II. She was particularly interested in children and was a longtime and life member of the Board of Trustees of Children's Hospital of Michigan. Her life passion was horses. She owned, rode and showed champion horses throughout the country. She was a life member of the American Horseshow Association. She provided initial funding to the Foundation during her lifetime and left an endowment to the Foundation upon her death in 1994.

Jim Flinn, Jr. attended Princeton and Babson University. He was diagnosed with schizophrenia in his early 20's and following the death of his father in 1952 resided for the rest of his adult life at the St. Joseph Mercy Health System in Ann Arbor, Michigan. The caregivers at St. Joseph Mercy Hospital provided a unique, close, caring and supportive environment that contributed to Jim's long life and to his good quality of life. Jim's interests were many and varied; reading, history, University of Michigan football, movies, gardening, bike riding and traveling. Helping the disadvantaged and philanthropy were of special interest to him. In later years, he made substantial contributions to the Foundation and to charities generally. Upon Jim's death all of the Flinns' interests were transferred to the Foundation leaving as a legacy a philanthropic foundation to improve the lives of individuals with mental illness.

ANNUAL LETTER

Last year was a difficult year. The economy took a toll on the nation but Michigan continued to be hit particularly hard. Our State's massive job losses and declining revenue led to devastating budget cuts to services that support vulnerable children and families. Community mental health funding was cut significantly at a time when the need for services is increasing. For the growing number of people who are uninsured and ineligible for Medicaid, access to mental health services became almost impossible. The Flinn Foundation is working harder than ever to expand access to quality mental health treatment programs and services available in our community by focusing on the following major initiatives.

Integrated Care

In 2008, the Foundation announced its Integrated Care Initiative and awarded eight three-year grants to school-based health clinics, hospital clinics, and community health clinics throughout Southeast Michigan to advance the integration of mental health services within primary care settings.

- At the end of the three-year pilots, the Foundation will produce and disseminate a "Michigan Integrated Care Evaluation" to inform policy and help advance effective integrated care models in primary care settings. The Foundation has also partnered with the Michigan Department of Community Health to evaluate pilot integrated care models within community mental health settings.

Evidence-Based Practices and Programs

In 2008, the Foundation awarded ten two-year grants to hospitals, human service agencies and community mental health providers throughout Southeast Michigan to accelerate implementation of proven treatment practices and programs.

- Family Psychoeducation programs were piloted to adolescents and their families at Detroit East Community Mental Health and Starfish Family Services. The Detroit Wayne County Community Mental Health Agency will expand Family Psychoeducation to adolescents for its providers with Starfish serving as the lead training agency.

Grantmaking Opportunities

A wide variety of one-year grants are typically awarded to improve mental health service delivery.

- The Foundation partnered with Metro Health Foundation, BCBSM Foundation and the Carls Foundation to leverage federal dollars for Covenant Community Care clinic to expand services to Southwest Detroit's growing safety net population.
- A grant to the Heinz Prechter Bipolar Research Fund was awarded to advance research into the causes and treatment of bipolar disorder. The grant qualified for matching funds.

Michigan Mental Health Evidence-Based Practice Initiative (MiMHEBPI)

In 2002, the Foundation began a quality improvement Initiative to maximize best practice in the prescription and monitoring of medications for people with major depression, schizophrenia or bipolar disorder. Six pilots were funded.

- Preliminary evidence from those three-year pilots shows that guidelines can be successfully implemented and effective in significantly decreasing symptoms. The Foundation is developing a web-based module that will enable psychiatrists throughout the State's mental health community to access and utilize the evidence-based guidelines more efficiently.

Although we face challenging times, the Flinn Foundation will continue to seek opportunities that move our mission forward of improving the quality and scope of mental health services for children, adolescents and adults.



Leonard W. Smith

Leonard W. Smith
Chairman & CIO



Andrea M. Cole

Andrea M. Cole
Executive Director & CEO

Henry Ford Health System

The Flinn Foundation partnered with Henry Ford Health System to integrate mental health services into their School-Based Health Program.



GRANTS PAID

Integrated Care Initiative

Children's Hospital of Michigan (Detroit, MI)	\$125,000
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Integrate mental health care and treatment into the Adolescent Medicine Primary Clinic which currently serves 1,000 youth between 12 and 21 years old (second year of a three-year, \$375,000 grant).

Henry Ford Health System (Detroit, MI)	\$175,000
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Integrate mental health care and treatment into seven school-based health centers in Detroit Public Schools that serve over 5,000 students (second year of a three-year, \$525,000 grant).

Oakland Primary Health Services (Pontiac, MI)	\$150,000
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Integrate mental health care and treatment into the Pontiac clinic that currently serves 2,700 children, adolescents and adults (second year of a two-year, \$300,000 grant).

Sinai Hospital of Greater Detroit (Detroit, MI)	\$150,000
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Integrate mental health care and treatment into the hospital's primary care center which serves 3,000 individuals in Northwest Detroit (second year of a three-year, \$450,000 grant).

St. Joseph's Mercy Oakland (Pontiac, MI)	\$150,000
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Integrate mental health care and treatment into the hospital's Mercy Place Health Primary clinic which serves 1,250 Oakland County individuals annually (second year of a three-year, \$450,000 grant).

Washtenaw Community Health Organizations (Ypsilanti, MI)	\$150,000
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To develop an integrated health care model for children and adolescents in Washtenaw County (second year of a three-year, \$450,000 grant).

Wayne State University (Detroit, MI)	\$150,000
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Integrate mental health care and treatment into the Wayne State University Detroit Medical Center Health Source Primary Clinic that serves 900 adults in Detroit (second year of a three-year, \$450,000 grant).

Western Wayne Family Health (Inkster, MI)	\$125,000
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Integrate mental health care and treatment into clinics that will serve over 3,000 children and adults (second year of a three-year, \$375,000 grant).

Judson Center

DBT trained staff practicing a mindfulness exercise.



Judson Center's DBT trained staff conducting DBT skills group with youth.

Youth in Judson Center's DBT skills group completing an exercise regarding the impact of DBT in their lives.



GRANTS PAID

Evidence-Based Practices and Programs

Community Care Services (Lincoln Park, MI)	\$125,000
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Provide Illness Management and Recovery to adolescents in Lincoln Park (final year of a two-year, \$250,000 grant).

Detroit East (Detroit, MI)	\$125,000
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Provide Multi-Group Psychoeducation Program for adolescent population in Detroit (final year of a two-year, \$250,000 grant).

Henry Ford Cottage Hospital (Detroit, MI)	\$70,000
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Implement Dialectical Behavioral Therapy in inpatient and partial hospitalization setting (final year of a two-year, \$140,000 grant).

Judson Center (Royal Oak, MI)	\$120,000
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Provide Dialectical Behavioral Therapy and Parent Management Training – Oregon Model to families (final year of a two-year, \$240,000 grant).

Kadima (Southfield, MI)	\$125,000
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Provide combined Motivational Interviewing and Cognitive Behavioral Therapy in a residential setting (final year of a two-year, \$250,000 grant).

Northeast Guidance Center (Detroit, MI)	\$125,000
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Enhance Assertive Community Treatment with supportive employment component (final year of a two-year, \$250,000 grant).

Regents of the University of Michigan (Ann Arbor, MI)	\$100,000
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Implement a sustainable funding model for evidence-based Chronic Care Model depression disease management program (final year of a two-year, \$200,000 grant).

Rose Hill Center (Holly, MI)	\$80,000
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Implement Dialectical Behavioral Therapy in a residential treatment setting (final year of a two-year, \$160,000 grant).

Southwest Counseling Solutions (Detroit, MI)	\$125,000
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Provide Illness Management and Recovery Program to adult population (final year of a two-year, \$250,000 grant).

Washtenaw Community Health Organization (WCHO)

The Flinn Foundation partnered with WCHO, and five other diverse sites, to pilot guidelines that maximize best practice in the prescription and monitoring of medication treatment for major depression, schizophrenia and bipolar disorder.



Multi-site Evaluation Team
Dr. Kristen Barry and
Dr. Frederic Blow



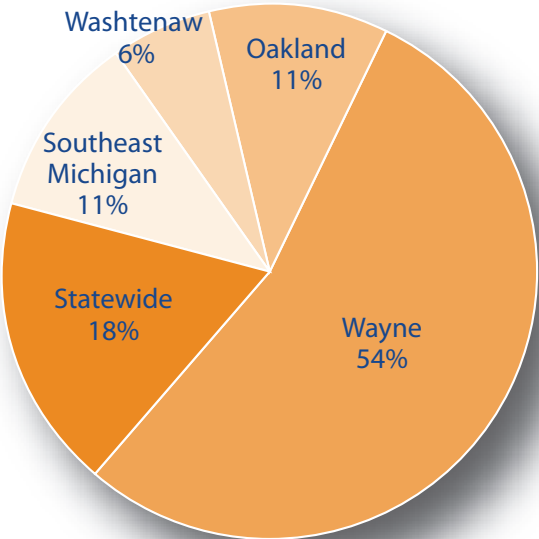
WCHO Site Team Leaders
Dr. Karen Milner (left)
Dr. Daniel Healey (below)



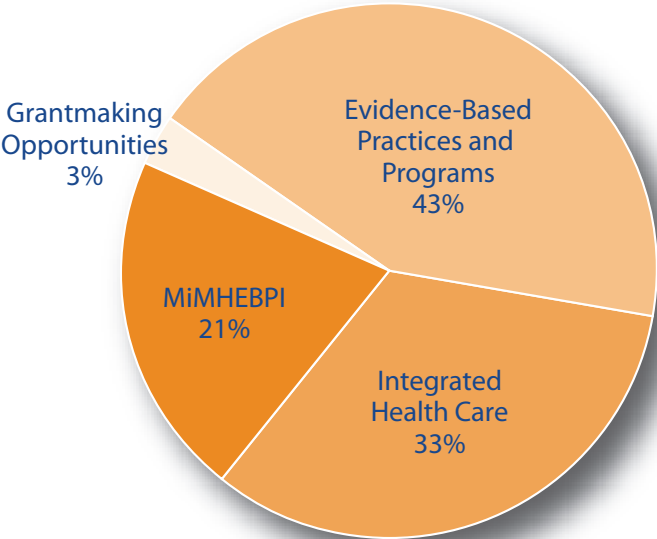
GRANTS PAID

Evidence-Based Practices and Programs (Cont):	
Starfish Family Services (Inkster, MI)	\$50,000
Provide Family Psychoeducation Program for adolescent and their families in Western Wayne County (final year of a two-year, \$100,000 grant).	
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Grantmaking Opportunities	
Covenant Community Care (Detroit, MI)	\$25,000
To expand access to integrated medical services for Southwest Detroit’s growing safety net population.	
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Michigan Dept. of Community Health (Lansing, MI)	\$30,000
To support training and technical assistance in implementing the Michigan Field Guide to Assertive Community Treatment (ACT).	
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Regents of the University of Michigan (Ann Arbor, MI)	\$25,000
To support the Heinz Prechter Bipolar Research Fund in accelerating and translating research to diagnose and treat depression and bipolar disorder.	
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Michigan Evidence-Based Practice Initiative: Phase II Six Pilot Projects as follows:	
Henry Ford Health System	\$65,000
St. Joseph Mercy Health / Huron Valley Physicians	\$60,000
Rose Hill Center/Wayne State University	\$78,750
Washtenaw Community Health Organization	\$56,750
Michigan Department of Community Health – for LifeWays and Network 180	\$72,500
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Michigan Evidence-Based Practice Initiative Phase II Washtenaw Community Health Organization	\$63,000
Drs. Barry and Blow Multi-Site Evaluation	
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Consulting Contracts/Contribution and Dues	\$205,201
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TOTAL GRANTS	\$2,901,201

Distribution of Grants by Geographic Area



Distribution of Grants by Program Area



BALANCE SHEET

December 31, 2009 *(presented on a modified cash basis)*

ASSETS

Cash

Retail	\$	179,152	
Investment		<u>787</u>	\$ 179,939
Money Market			438,515
Bonds			10,155,552
Equities			21,997,720
Multi Asset Funds			7,458,088
Alternatives			
Marketable/Hedge			7,785,192
Marketable/Hard Assets			5,950,374
Mining			895,964
Private Equity			<u>565,123</u>
			\$ 55,426,467

LIABILITIES

TOTAL ASSETS AND LIABILITIES 12/31/09	\$	<u>55,426,467</u>
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CHANGE IN ASSETS AND LIABILITIES

Beginning Assets and Liabilities 12/31/08	\$	46,819,581
Revenues	\$	(4,326,873)
Expenses		<u>3,016,683</u>
		(7,343,556)
Unrealized Capital Gains 12/31/08	\$	(22,268,918)
Unrealized Capital Gains 12/31/09		<u>(7,219,115)</u>
		15,049,803
Real Estate Distribution from James H. Flinn, Jr. and Ethel G. Flinn Estate		634,041
Distribution from James H. Flinn, Jr. Estate / Minnesota		<u>266,598</u>
TOTAL ASSETS AND LIABILITIES 12/31/09	\$	<u>55,426,467</u>

STATEMENT OF REVENUE AND EXPENSES

December 31, 2009

	2008	2009
REVENUES		
Interest	\$ 801,587	\$ 573,426
Dividends	972,228	746,207
Mining Royalties	86,698	85,688
Realized Capital Gains	(877,558)	(5,751,846)
Mutual Fund Capital Gains	1,685,122	12,750
Other	95,330	6,902
TOTAL REVENUES	\$ 2,763,407	\$ (4,326,873)
EXPENSES		
Investment Expenses	\$ 17,827	\$ 23,195
Administrative Expenses	563,684	500,287
Investment Income Tax	69,331	17,000
Grantmaking Expenses	3,178,739	2,476,201
TOTAL EXPENSES	\$ 3,829,581	\$ 3,016,683

TRUSTEES

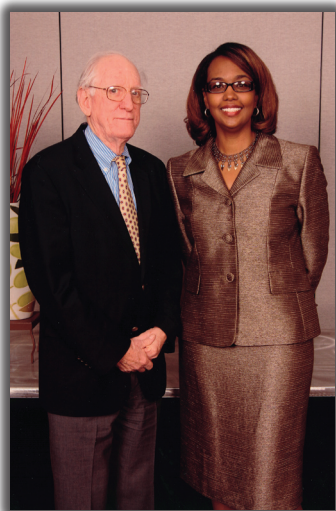
The Foundation is pleased to announce the addition of three new Board Members. Dr. Calmeze H. Dudley, Dr. Linda L. Hryhorczuk and George A. Nicholson, III were elected Trustees at the Foundation's Annual Meeting held December 8, 2009.



Flinn Foundation Board of Trustees and Officers

Front Row (left to right): Leonard W. Smith,
Dr. Calmeze H. Dudley, Dr. Linda L. Hryhorczuk,
George A. Nicholson, III, Lynn G. Carpenter

Back Row (left to right): David E. Nims, Allen Ledyard,
Andrea M. Cole



The Flinn Foundation was honored as “Outstanding Foundation of the Year” at the Association of Fundraising Professionals (AFP) Detroit Chapter’s National Philanthropy Day Dinner held on November 12, 2009.

FOUNDATION BENEFACTORS

Ethel "Peggy" W. Flinn
James "Jim" H. Flinn, Jr.

April 21, 1911 - February 7, 1994
September 6, 1915 - February 8, 2007

OFFICERS AND STAFF

Leonard W. Smith	Chairman of the Board and Chief Investment Officer
Allen Ledyard	Treasurer
David E. Nims	Vice Chairman and Secretary
Andrea M. Cole	Executive Director and Chief Executive Officer
Arnita M. Thorpe	Executive Assistant

INQUIRES

Andrea M. Cole
Executive Director and CEO
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